Numerical Methods and Simulation Software for the Emission and Propagation of Fully- and Partially-Coherent Synchrotron Radiation Wavefronts

> O. Chubar NSLS-II Project, BNL, USA

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# Motivation



- Computation of Magnetic Fields produced by Permanent Magnets, Coils and Iron Blocks and in 3D space, optimized for the design of Accelerator Magnets, Undulators and Wigglers
- Fast computation of Synchrotron Radiation emitted by relativistic electrons in Magnetic Field of arbitrary configuration
- SR Wavefront Propagation (Physical Optics)



# **Some Computer Codes**

- For Synchrotron Radiation (Spontaneous Emission) and Wavefront Propagation Simulations
  - URGENT (R.Walker, ELETTRA)
  - XOP (S.Rio, ESRF, R.Dejus, APS)
  - WAVE + PHASE (J.Bahrdt, M.Scheer, BESSY)
  - SPECTRA (T.Tanaka, H.Kitamura, SPring-8)
  - SRW (O.Chubar, P.Elleaume, ESRF-SOLEIL, 1997-...)

**Growing Importance of Physical Optics Calculations** 

### Example: NSLS-II (operation to start in ~2015)

Approved Beamlines (December 2008)	<b>Requires Physical Optics Simulations?</b>
Inelastic Scattering Beamline (0.1 – 1 meV spectral resolution)	yes
Nanoprobe Beamline	yes
Coherent Hard X-ray Beamline	yes
Coherent Soft X-ray Beamline	yes
X-ray Absorption Spectroscopy Beamline	?
Powder Diffraction Beamline	yes

### Spontaneous Emission by One Relativistic Electron Moving in Free Space

Lienard-Wiechert Potentials for One Electron:

(Gaussian CGS)

$$\vec{A} = e \int_{-\infty}^{+\infty} \vec{\beta}_e R^{-1} \delta(\tau - t + R/c) d\tau, \quad \varphi = e \int_{-\infty}^{+\infty} R^{-1} \delta(\tau - t + R/c) d\tau$$

**Electric Field in Frequency Domain** (exact expression!!!):

$$\vec{E}_{\omega} = \frac{ie\,\omega}{c} \int_{-\infty}^{+\infty} R^{-1} [\vec{\beta}_{e} - [1 + ic/(\omega R)]\vec{n}] \exp[i\omega(\tau + R/c)]d\tau \qquad (\checkmark) \qquad \text{I.M.Ternov used this approach in Far Field approximation}$$

$$\vec{E}_{\omega} = \frac{e}{c} \int_{-\infty}^{+\infty} \frac{\vec{n} \times \left[ \left( \vec{n} - \vec{\beta}_{e} \right) \times \dot{\vec{\beta}}_{e} \right] + cR^{-1}\gamma^{-2} \left( \vec{n} - \vec{\beta}_{e} \right)}{R \cdot \left( 1 - \vec{n} \cdot \vec{\beta}_{e} \right)^{2}} \cdot \exp\left[ i\omega(\tau + R/c) \right] d\tau \qquad \text{J.D.Jackson}$$

Equivalence of the two expressions can be shown by integration by parts

# Spontaneous Emission by One Relativistic Electron

**Electric Field in Frequency Domain**:

$$\vec{E}_{\omega} = \frac{ie\omega}{c} \int_{-\infty}^{+\infty} R^{-1} [\vec{\beta}_e - [1 + ic/(\omega R)]\vec{n}] \exp[i\omega(\tau + R/c)] d\tau$$
$$\vec{n} = \vec{R}/R, \ n_x \approx \frac{x - x_e}{z - c\tau}, \ n_y \approx \frac{y - y_e}{z - c\tau}$$

Phase Expansion (valid in the Near- and in the Far Field):

$$\omega \cdot (\tau + R/c) \approx \Phi_0 + \frac{k}{2} \left[ c \,\tau \gamma^{-2} + c \int_0^\tau |\vec{\beta}_{e\perp}|^2 d\vec{\tau} + \frac{|\vec{r}_\perp - \vec{r}_{e\perp}|^2}{z - c \,\tau} \right]$$

Asymptotic Expansion to accelerate computation and "improve" numerical convergence:

$$\int_{-\infty}^{+\infty} F \exp(i\Phi) ds = \int_{\tau_1}^{\tau_2} F \exp(i\Phi) ds + \int_{-\infty}^{\tau_1} F \exp(i\Phi) ds + \int_{\tau_2}^{+\infty} F \exp(i\Phi) ds$$
$$\int_{-\infty}^{\tau_1} F \exp(i\Phi) ds + \int_{\tau_2}^{+\infty} F \exp(i\Phi) ds \approx \left[ \left( \frac{F}{i\Phi'} + \frac{F'\Phi' - F\Phi''}{\Phi'^3} + \dots \right) \exp(i\Phi) \right]_{\tau_2}^{\tau_1}$$

# Incoherent and Coherent Emission by Many Electrons

Electron Dynamics:

$$\begin{pmatrix} x_{e} \\ y_{e} \\ z_{e} \\ \beta_{xe} \\ \beta_{ye} \\ \delta \gamma_{e} \end{pmatrix} = \mathbf{A}(\tau) \begin{pmatrix} x_{e0} \\ y_{e0} \\ z_{e0} \\ x'_{e0} \\ y'_{e0} \\ \delta \gamma_{e0} \end{pmatrix} + \mathbf{B}(\tau)$$

Spectral Photon Flux per unit Surface emitted by the whole Electron Beam:

Common Approximation for CSR: "Thin" Electron Beam:  $\left\langle \left| \vec{E}_{\omega} \right|^{2} \right\rangle_{CSR} \approx N_{e} \left| \int_{-\infty}^{\infty} \tilde{f}(z_{e0}) \exp(ikz_{e0}) dz_{e0} \right|^{2} \left| \vec{E}_{\omega 1} \right|^{2}$ For Gaussian Longitudinal Bunch Profile:  $\left\langle \left| \vec{E}_{\omega} \right|^{2} \right\rangle_{CSR} \approx N_{e} \exp(-k^{2} \sigma_{b}^{2}) \left| \vec{E}_{\omega 1} \right|^{2}$ 

However, if  $f(x_{e0}, y_{e0}, z_{e0}, x'_{e0}, \delta\gamma_{e0})$  is Gaussian, the 6-fold integration can be done **analytically** (!)  $\Rightarrow$  Efficient method for CSR computation taking into account 6D phase space distribution of electrons

# Self-Amplified Spontaneous Emission Described by Paraxial FEL Equations

Approximation of Slowly Varying Amplitude of Radiation Field

 $\frac{d\theta}{dz} = k_u - k_r \frac{1 + p_{\perp}^2 + a_u^2 - 2a_r a_u \cos(\theta + \phi_r)}{2\nu^2}$ Particles' dynamics in undulator and radiation fields W.B.Colson  $\frac{d\gamma}{dz} = -\frac{k_r f_c a_r a_u}{\nu} \sin(\theta + \phi_r)$ (averaged over many periods): J.B.Murphy C.Pellegrini  $\frac{d\vec{p}_{\perp}}{dz} = -\frac{1}{2\nu} \frac{\partial a_u^2}{\partial \vec{r}_{\perp}} + \mathbf{k}_{foc} \vec{r}_{\perp}$ E.Saldin F.Bessonov et. al.  $\frac{d\vec{r}_{\perp}}{dz} = \frac{\vec{p}_{\perp}}{\gamma}$ Paraxial wave equation  $\left| 2ik_r \frac{\partial}{\partial z} + \nabla_{\perp}^2 \right| a_r \exp(i\phi_r) = -\frac{e\varepsilon_0 If_c a_u}{mc} \left\langle \frac{\exp(-i\theta)}{v} \right\rangle$ with current:

Solving this system gives Electric Field at the FEL exit for one "Slice":  $E_{slice}|_{z=z_{exit}} \sim a_r \exp(i\phi_r)|_{z=z_{exit}}$ Loop on "Slices" (copying Electric Field to a next slice from previous slice, starting from back) Time- (and Frequency-) Domain Electric Field in transverse plane at FEL exit:  $E(x, y, z_{exit}, t) \leftrightarrow E_{\omega}(x, y, z_{exit})$ 

> Popular TD 3D FEL computer code: GENESIS (S.Reiche) Integrated to SRW on C++ level

### Wavefront Propagation: Case of Full Transverse Coherence

Kirchhoff Integral Theorem applied to Spontaneous Emission by One Electron



Huygens-Fresnel Principle 
$$\vec{E}_{\omega 2\perp}(P_2) \approx \frac{k}{4\pi i} \iint_A \vec{E}_{\omega 1\perp}(P_1) \frac{\exp(ikS)}{S} (\vec{\ell} \cdot \vec{\tilde{n}} + \vec{\ell} \cdot \vec{n}_{p_1 p_2}) d\Sigma$$

#### **Fourier Optics**

Free Space: (between parallel planes perpendicular to optical axis)

"Thin" Optical Element:

"Thick" Optical Element: (from transverse plane before the element to a transverse plane immediately after it)

$$\vec{E}_{\omega^{2\perp}}(x_2, y_2) \approx \frac{k}{2\pi i L} \iint \vec{E}_{\omega^{1\perp}}(x_1, y_1) \exp[ik[L^2 + (x_2 - x_1)^2 + (y_2 - y_1)^2]^{1/2}] dx_1 dy_1$$
Assumption of small angles

$$\vec{E}_{\omega 2 \perp}(x, y) \approx \mathbf{T}(x, y, \omega) \vec{E}_{\omega 1 \perp}(x, y)$$
  
$$\vec{E}_{\omega 2 \perp}(x_2, y_2) \approx \mathbf{G}(x_2, y_2, \omega) \exp[ik\Lambda(x_2, y_2, k)] \vec{E}_{\omega 1 \perp}(x_1(x_2, y_2), y_1(x_2, y_2))$$
  
E.g. from Stationary Phase method

# "Economic" Version of Free-Space Fourier-Optics Propagator

Huygens-Fresnel Principle: (paraxial approximation)

$$\vec{E}_{\omega^{2\perp}}(\vec{r}_{2}) \approx \frac{k}{2\pi i} \iint_{\Sigma_{1}} \vec{E}_{\omega^{1\perp}}(\vec{r}_{1}) \frac{\exp[ik | \vec{r}_{2} - \vec{r}_{1} |]}{| \vec{r}_{2} - \vec{r}_{1} |} d\Sigma_{1}$$
$$| \vec{r}_{2} - \vec{r}_{1} | = [L^{2} + (x_{2} - x_{1})^{2} + (y_{2} - y_{1})^{2}]^{1/2}$$

#### Analytical Treatment of **Quadratic Phase Term**:

Before Propagation:

$$\vec{E}_{\omega 1 \perp}(x_1, y_1) = \vec{F}_{\omega 1}(x_1, y_1) \exp\left[ik\frac{(x_1 - x_0)^2}{2R_x} + ik\frac{(y_1 - y_0)^2}{2R_y}\right]$$

After Propagation:

$$\begin{split} \vec{E}_{\omega 2 \perp}(x_2, y_2) &\approx \frac{k}{2\pi i L} \exp(ikL) \iint_{\Sigma} \vec{F}_{\omega 1}(x_1, y_1) \exp\left[ik \frac{(x_1 - x_0)^2}{2R_x} + ik \frac{(y_1 - y_0)^2}{2R_y} + ik \frac{(x_2 - x_1)^2 + (y_2 - y_1)^2}{2L}\right] dx_1 dy_1 \\ &= \frac{k}{2\pi i L} \exp\left[ikL + ik \frac{(x_2 - x_0)^2}{2(R_x + L)} + ik \frac{(y_2 - y_0)^2}{2(R_y + L)}\right] \times \\ &\times \iint_{\Sigma} \vec{F}_{\omega 1}(x_1, y_1) \exp\left[ik \frac{R_x + L}{2R_x L} \left(x_1 - \frac{R_x x_2 + L x_0}{R_x + L}\right)^2 + ik \frac{R_y + L}{2R_y L} \left(y_1 - \frac{R_y y_2 + L y_0}{R_y + L}\right)^2\right] dx_1 dy_1 \\ &= \vec{F}_{\omega 2}(x_2, y_2) \exp\left[ik \frac{(x_2 - x_0)^2}{2(R_x + L)} + ik \frac{(y_2 - y_0)^2}{2(R_y + L)}\right] \end{split}$$

### Wavefront Propagation: Taking Into Account Partial Coherence

### Averaging of Propagated One-Electron Intensity

over Phase-Space Volume occupied by Electron Beam:

$$I_{\omega}(x,y) = \int I_{\omega0}(x,y;x_{e0},y_{e0},x_{e0}',y_{e0}',\delta\gamma_{e0}) f(x_{e0},y_{e0},x_{e0}',y_{e0}',\delta\gamma_{e0}) dx_{e0} dy_{e0} dx_{e0}' dy_{e0}' d\delta\gamma_{e0}' dy_{e0}' dy_{e0}' d\delta\gamma_{e0}' dy_{e0}' dy_{e0}' dy_{e0}' d\delta\gamma_{e0}' dy_{e0}' dy_{e0}'$$

 $I_{\omega}(x,y) \approx \int \int \widetilde{I}_{\omega 0}(x-\widetilde{x}_{e},y-\widetilde{y}_{e})\widetilde{f}(\widetilde{x}_{e},\widetilde{y}_{e}) d\widetilde{x}_{e}d\widetilde{y}_{e}$ 

**Convolution** is valid in many cases:

- projection geometry;
- focusing by a thin lens;
- diffraction on one slit (/pinhole);

OR:

### Propagation of Mutual Intensity

Kwang-Je Kim

Mutual Intensity:

- . . .

$$\begin{split} M_{\omega}(x, y; \tilde{x}, \tilde{y}) &= \int \vec{E}_{\omega 0 \perp}(x, y; x_{e0}, y_{e0}, x'_{e0}, y'_{e0}, \delta \gamma_{e0}) \vec{E}^{*}_{\omega 0 \perp}(\tilde{x}, \tilde{y}; x_{e0}, y_{e0}, x'_{e0}, y'_{e0}, \delta \gamma_{e0}) \\ &\times f(x_{e0}, y_{e0}, x'_{e0}, y'_{e0}, \delta \gamma_{e0}) dx_{e0} dy_{e0} dx'_{e0} dy'_{e0} d\delta \gamma_{e0} \end{split}$$

Wigner Distribution (or mathematical Brightness):

$$B_{\omega}(x, y; \theta_{x}, \theta_{y}) = \frac{1}{2\pi} \int_{-\infty-\infty}^{+\infty+\infty} M_{\omega}(x, y; \tilde{x}, \tilde{y}) \exp[ik(\theta_{x}\tilde{x} + \theta_{y}\tilde{y})] d\tilde{x}d\tilde{y}$$

# **Examples: Undulator Radiation**





### In-Vacuum Hybrid Undulator U20 (SWING) Spectral Shimming Results

#### On-Axis Single-Electron Spectra Before and After Shimming (10 m from source)



# Evolution of 11<sup>th</sup> Harmonic of Single-Electron Spectrum

On-Axis Intensity Taking into account E-Beam Emittance and Energy Spread





Photon Energy



# RADIA-SRW Examples: Electromagnetic Elliptical Undulator HU256

The Structure (A.Dael, SOLEIL; P.Vobly, BINP)

RADIA Model



#### Specifications:

Circular Polarization:  $\epsilon_{1 \text{ min}} < 10 \text{ eV}$ Linear Horiz. Polarization:  $\epsilon_{1 \text{ min}} < 10 \text{ eV}$ Linear Vertical Polarization:  $\epsilon_{1 \text{ min}} < 20 \text{ eV}$ 



Magnetic Fields at Max. Currents (RADIA)



#### Calculated Spectra at Maximal Currents (SRW)



### Examples: Undulator Radiation Maximal Spectral Flux at Various Polarizations



# Examples: Wavefront Propagation Focusing of Undulator Radiation





#### Planar Undulator, Odd Harmonics

E = 6 GeV; K = 2.2; 38 x 42 mm;  $\varepsilon = 2.36 \text{ keV}$  (~ fundamental) 1 : 1 imaging; 30 m from middle of Undulator to Thin Lens & Phase Correction



NIM-A, 1999



#### Planar Undulator, Even Harmonics

E = 6 GeV; K = 2.2; 38 x 42 mm;  $\varepsilon = 4.775 \text{ keV}$  (2<sup>-nd</sup> harmonic) 1 : 1 imaging; 30 m from middle of Undulator to Thin Lens & Phase Correction





#### Helical Undulator, Harmonics n > 1

E = 6 GeV;  $B_{x max} = B_{z max} = 0.3$  T; 28 x 52 mm;  $\varepsilon = 4.20$  keV (2<sup>-nd</sup> harmonic) 1 : 1 imaging; 30 m from middle of Undulator to Thin Lens & Phase Correction



Directly relevant to "OAM"! - S. Sasaki and I. McNulty, PRL, 2008

Focusing of Radiation from Helical Undulator, Harmonics n > 1 E = 6 GeV;  $B_{x \text{ max}} = B_{z \text{ max}} = 0.3 \text{ T}$ ; 28 x 52 mm;  $\varepsilon = 4.20 \text{ keV}$  (2<sup>-nd</sup> harmonic) 1 : 1 imaging; 30 m from middle of Undulator to Thin Lens & Phase Correction





### Partially-Coherent Wavefront Propagation Simulations for Phase-Contrast Tomography BL: Approximate Scheme



#### Intensity Distributions in Transverse Plane at Sample (no Slope Error, $\varepsilon \approx 10$ keV)



### Partially-Coherent Wavefront Propagation Simulations for Tomography BL: M1 Slope Error Effect

Modeling Surface Height Profile (due to Slope Error) Intensity Distributions at Sample of Horizontally-Focusing Mirror





### M1 Slope Error Effects for Different E-Beam Parameters

#### Modeling Surface Height Profile (due to Slope Error)



#### Cuts of Intensity Distributions at Sample





Intensity Distributions at Sample

### Partially-Coherent Wavefront Propagation Simulations for Phase-Contrast Tomography BL: Image of Sample Sphere

**Optical Scheme** 



Phase-Contrast Image of Test Sample Sphere Intensity Distribution at Detector Screen at  $\varepsilon \approx 10$  keV, M1 Slope Error



### Preliminary Wavefront Propagation Calculations for MICROSCOPIUM: Standard Long Straight Section (A)

#### Lattice Functions (Long Straight Section)



Vertical Plane

R ~ 100 m

SYNCHROTRON

 $\Delta z = 0.5 \text{ mm} \text{ f}_{z} \approx 0.5 \text{ m}$ 

### Intensity @ Sample



Transverse Position

### Preliminary Wavefront Propagation Calculations for MICROSCOPIUM: Standard Long Straight Section (B)

#### Lattice Functions (Long Straight Section)



#### Intensity @ Sample







200

400

0

Transverse Position

### Preliminary Wavefront Propagation Calculations for MICROSCOPIUM: Modified Long Straight Section

#### Lattice Functions (Modified Long Straight Section)



#### Intensity @ Sample



Horizontal Cut Vertical Cut

400

600

400

200

#### Flux $\approx 7.5 \times 10^{11}$ Ph/s/0.1%bw

### Wavefront Propagation Calculations for MICROSCOPIUM: Comparison of Optical Schemes with Zone Plates

#### **Optical Schemes**

Intensity and Flux at Sample



### Examples: Wavefront Propagation X-Ray Focusing Using a Zone Plate (Full Transverse Coherence)





Horizontal Position

## Examples: Wavefront Propagation / Analysis Partially Coherent X-Rays Observed Out of Focus of a Zone Plate



### Examples: Wavefront Propagation Point-Spread Function Computation for Parabolic X-Ray CRL



## Examples: Wavefront Propagation Fresnel Diffraction of Partially Coherent X-Rays



## Examples: Wavefront Propagation Interference of Partially Coherent X-Rays



# Resolution of the Well-Known X-ray Pinhole Camera



# E-Beam Imaging Using Vertically Polarized BM SR



SR Intensity Distribution in the Image Plane (Vertical Polarization)



RMS Vertical Size of the E-Beam and the Intensity Fluctuation in the Fringes:

 $\begin{array}{ll} \mbox{red curve: filament e-beam } (\sigma_{e\,z}=0), & I_{min}/I_{max}\approx 0 \ (<10^{-3}) \\ \mbox{blue: } \sigma_{e\,z}=18.3 \ \mu\text{m}, & I_{min}/I_{max}\approx 0.36 \\ \mbox{black: } \sigma_{e\,z}=23.3 \ \mu\text{m} \ (expected), & I_{min}/I_{max}\approx 0.56 \\ \mbox{green: } \sigma_{e\,z}=28.3 \ \mu\text{m}, & I_{min}/I_{max}\approx 0.73 \\ \end{array}$ 

# E-Beam Imaging Using Double-Slit Interferometer



#### SR Intensity Distribution in the Image Plane (Horizontal Polarization Component)



#### SR Intensity Distribution in the Image Plane (Vertical Polarization Component)

100

200um





red: filament e-beam (
$$\sigma_{ez} = 0$$
),  $I_{min}/I_{max} \approx 0$  (< 10<sup>-5</sup>)  
blue:  $\sigma_{ez} = 18.3 \ \mu\text{m}$ ,  $I_{min}/I_{max} \approx 0.67$   
black:  $\sigma_{ez} = 23.3 \ \mu\text{m}$ ,  $I_{min}/I_{max} \approx 0.88$   
green:  $\sigma_{ez} = 28.3 \ \mu\text{m}$ ,  $I_{min}/I_{max} \approx 0.99$  (no fr

≈ 0.67 ≈ 0.88  $\approx 0.99$  (no fringes)

 $I_{min}/I_{max} \approx 0.88$  (no fringes)

 $I_{min}/I_{max} \approx 0.59$ 

 $I_{min}/I_{max} \approx 0.78$ 

### Angular Horizontal FS Slice Separation Scheme (SLS)



### FS Slice Separation Using SOLEIL "Native" Dispersion Hard X-Rays: Slit- (Pinhole-) Based Spatial Horizontal Separation Scheme





### Examples: Infrared Edge Radiation Emission at Different Wavelengths (SOLEIL)





### Examples: Time-Dependent Wavefront Propagation SASE Pulse Profiles and Spectra at FEL Exit



0

Rel. Time

-8

-6

Longitudinal Position

-4

-10m

-2

0

-200fs

-100

200

100

100.0 Photon Energy

100.2

100.4 100.6eV

99.8



Examples: Time-Dependent Wavefront Propagation

Wavefront Characteristics in Image Plane

#### B: Started from noise





# SRW and Others...

### RADIA

- Solver of 3D Magnetostatics problems;
- Very efficient for IDs, good for Accelerator Magnets;
- Extension to Eddy Currents is considered

### **IDBuilder**

- GA-based Optimizer for ID construction: magnet Sorting, Swapping, Shimming,...;
- Can be generalized to Magnet Design problems

#### SRW

- Simulator for Spontaneous Synchrotron Emission and Wavefront Propagation;
- Applicable to large variety of problems of high importance for 3<sup>rd</sup> and 4<sup>th</sup> Generation Sources;
- ...However, it is not a "proven" tool for SR Beamline optimization... (yet ?)
- The codes are written in C++ as shared libraries (with documented API);
- Currently interfaced to IGOR Pro (all) and Mathematica (some);
- Can be interfaced to other Front-Ends / Scripting Environments, e.g. Python;
- Are easily "extendable" by users, thanks to Scripting Environments.

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